2-1-1 is excited to work with you to better the lives of residents in our community. 2-1-1 extends that commitment to the community by protecting the privacy and security of individuals’ information. This provides guidance for Partner Agencies on software and hardware and to know how to identify a potential breach, investigate that breach and notify appropriate agencies.

**Software and Hardware:**

**Software:**
1. In order to use the Salesforce CRM, the following link provides a list of supported browsers: [https://help.salesforce.com/articleView?id=getstart_browser_overview.htm&type=0](https://help.salesforce.com/articleView?id=getstart_browser_overview.htm&type=0)
2. For all points of entry to the system use supported and updated to Operating System Manufacturer specifications.
3. Apply all current security patches and updates for Operating Systems and Applications
4. Use business-grade Anti-Virus software and keep it up-to-date.
5. 2-1-1 strongly recommends the use of encryption.
6. Securely archive any encryption keys
7. Use a password manager and ensure passwords are long (greater than 12 characters) and strong (using a combination of uppercase, lowercase, numbers and special characters).
8. Enable Multi-factor Authentication (MFA) for your device, applications and any websites you access.
9. Do not re-use passwords across logins.
10. Each user must have their own log-in to the software (Salesforce) and all passwords must be protected. Sharing log in information is strictly forbidden.

**Hardware:**
1. Accessing the Salesforce CRM must be on a private network connection
2. Hardware that is accessing Salesforce CRM must have password protection and auto-locking capabilities and passwords may not be shared.

**Breach Notification:**

In the event of any suspected breach of information, please notify privacy@211sandiego.org immediately. If you believe you are the victim of cyber crime, you may also notify the authorities by dialing 9-1-1 or at [www.catchteam.org](http://www.catchteam.org). The following guidance is designed to help you in determining what to do if you suspect a breach impacting 2-1-1 information.

In addition to this guidance, you are responsible for understanding your legal requirements for reporting directly to state and federal agencies if any exist.

**Definition of a Breach**
1) Reasonable belief that unencrypted personal information was acquired, or reasonably believed to have been acquired, by an unauthorized person; or in the case of encrypted personal information, it is reasonably believed that the encryption key or security credential was acquired by an unauthorized person and could render the healthcare or personal information readable.
2) A breach is, generally, an impermissible use or disclosure that compromises the security or privacy of the protected health information under the Privacy Rule or of personally identifiable information under California’s Information Practices Act. An impermissible use or disclosure of protected health information or personally identifiable information is presumed to be a breach unless the agency that maintains or transmits computerized data, demonstrates that there is a low probability that the protected health information or personally identifiable information has been compromised based on a risk assessment.

**What to do if you suspect a breach of 2-1-1 data or systems:**

Immediately notify 2-1-1 at privacy@211sandiego.org

Include the following information in your e-mail:

- A brief description of what happened, including the date of the breach and the date of the discovery of the breach, if known.
- A description of the types of unsecured protected health information or personally identifiable information that were involved in the breach (such as whether full name, Social Security number, date of birth, home address, account number, diagnosis, disability code, or other types of information were involved).
- A brief description of what the organization is doing to investigate the breach, to mitigate harm to individuals, and to protect against further breaches.
- Contact procedures for individuals involved in the investigation.

For Protected Health Information (PHI) security and breach requirements or to understand your rights under HIPAA, refer to United States Department of Health and Human Services HIPAA website: [https://www.hhs.gov/hipaa](https://www.hhs.gov/hipaa)

For guidelines on protecting private information including Personally Identifiable Information (PII), see the California State Attorney General’s guidelines on Cybersecurity: [https://oag.ca.gov/cybersecurity](https://oag.ca.gov/cybersecurity)

If you believe you have been a victim of a cyber-crime, contact San Diego’s Computer and Technology Crime High-Tech Response Team (CATCH) at: [http://www.catchteam.org/](http://www.catchteam.org/)

To understand your breach reporting requirements under California Information Practices Act, See, California Civil Code Section 1798-1798.78.

**Client Consent:**

Purpose is to ensure:

- Informed consent and authorization is obtained
- Same protocol is followed for each consented client
- A copy or record of the consent is kept on file as long as the consent is valid
Consents and Authorizations:

Types of Consent/Authorizations

- Wet Signature (See Appendix 1)
- Adobe Sign (See Appendix 1)
- Telephonic Signature (See Appendix 2)
- Verbal Consents
- Joint Authorizations
- Text Message (See Appendix 3)

Link to the latest Authorization Forms can be found here: https://ciesandiego.org/cie-authorization-forms/

Definitions:

Consent: Consent embodies the concept that the Client is informed about the uses and disclosures that will be made of the information (as set forth in the Covered Entity’s Notice of Privacy Practices), the Client has legal capacity to make the decision and the decision to consent or refuse consent is made voluntarily.

Authorization: An Authorization refers to a type of formal consent to disclose healthcare information the form and contents of which must comply with state and federal laws in order to be valid. An Authorization must be in writing, 14pt font, signed and dated by the Client and must state what information the Client agrees will be disclosed, who the information may be disclosed to and the purpose of the disclosure. An Authorization must also include information advising the Client of their right to revoke, to obtain a copy, whether a healthcare provider can condition treatment, payment or eligibility on receipt of the authorization and that the information may no longer be protected if the recipient re-discloses the information. As a general rule, an Authorization is or may be required for the use and disclosure of “sensitive” healthcare information such as drug or alcohol abuse, HIV Test results, and psychotherapy notes. An Authorization is also required when a Covered Entity discloses healthcare information to a non-Covered Entity such as Meals on Wheels, uses healthcare information for certain marketing purposes and or sells PHI. When a patient’s authorization is required, voluntary consent is not sufficient.

Guidelines:

1. Agency Staff must ensure the client is sound body and mind, by asking the questions such as, “do you understand...”
2. Agency Staff must ensure the client can read/understand English or Spanish, by confirming the client speaks or read English or Spanish
3. Identify which type of consent or authorization client is needed. If PHI will be disclosed to 2-1-1 or a Partner Agency, an Authorization will be required.
4. Ensure utilizing most updated consent form available here: https://ciesandiego.org/cie-authorization-forms/
5. Agency Staff must Read and/or have client read the consent or authorization
   o Client should be fully informed of risks and benefits
   o Understand how their information is shared (Notice of Privacy Practices)
   o How they can revoke consent
6. Gather a copy of the consent or authorization and upload document to CIE platform.
   a. Upload within Salesforce via Partner Community
7. Upgrade a social consent whenever possible and/or before any additional of Personal Health
   Information (PHI)

Guidelines to Revoke Consent/Authorization:

In order to revoke consent, client can:

- Mail request with client name and information to Privacy Questions, PO Box 420039, San Diego, CA 92142
- Call 2-1-1 and request revoking consent/authorization
- Fill out form online: https://ciesandiego.org/revoke/
- Send an email to request to revoke consent/authorization to: revoke@211sandiego.org

Notice of Personnel Changes:

- CIE Partners are responsible in notifying the CIE Helpdesk of personnel attrition or changes within 30 days. The CIE Helpdesk will deactivate the person’s account as soon as the notice is received.

In order to report the personnel changes, partner can:
- Submit person’s First, Last Name, Agency Name, and email to ciehelpdesk@211sandiego.org
- Submit person’s First, Last Name, Agency Name, and email to CIEpartners@211sandiego.org

Thank you for your help in protecting the personal information of the individuals we serve.

Please feel free to contact the 211 privacy and security team with any questions: privacy@211sandiego.org

Use of CIE:

- CIE Partners and users can only use CIE to view information about individuals who meet the following use cases:
  - Individual is receiving or seeking services at your organization,
  - Individual is referred via direct referral from CIE Network Partner, after individual request in services